

0310

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Thomas J. Schall, et al. )  
Serial No.: 10/001,221 ) Examiner: Unknown  
Filed: October 30, 2001 ) Group Art Unit: 1614  
For: METHODS AND COMPOSITIONS ) Attorney Docket No. 10709-014  
FOR INDUCING AN IMMUNE )  
RESPONSE )

## Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage addressed to: United States Patent and Trademark Office, BOX SEQUENCE, P.O. Box 2327, Arlington, Virginia 22202.  
on 7 October 2002 by [Signature]

## RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

United States Patent and Trademark Office  
BOX SEQUENCE  
P.O. Box 2327  
Arlington, Virginia 22202

Honorable Sir:

Responsive to the Notice to Comply mailed August 6, 2002, applicants' attorney herewith forwards the following:

- A Substitute Computer Readable Form (CRF) of the Sequence Listing (3.5" floppy disk)

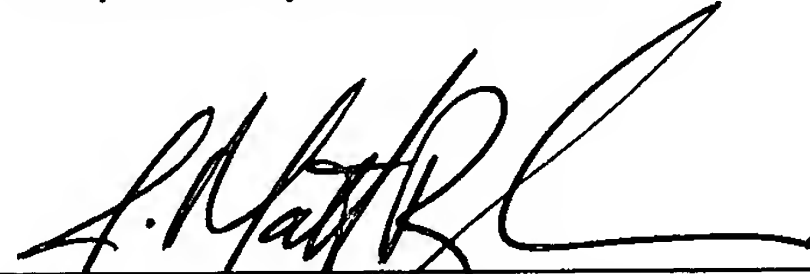
- Applicants respectfully indicate that the enclosed CRF has been verified as containing no errors by the Checker 4.0 computer program.
- A statement as required by 37 CFR §1.821(f)
- A copy of the Notice to Comply

Please charge any deficiency or overpayment of these fees to Deposit Account No. 23-1925. A duplicate copy of this document is enclosed for this purpose.

Timely acceptance of the enclosed documents is requested.

Respectfully submitted,

Date: 7 October 2002

  
\_\_\_\_\_  
J. Matthew Buchanan  
Registration No. 47,459

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(734) 302-6000



## UNITED STATES PATENT AND TRADEMARK OFFICE

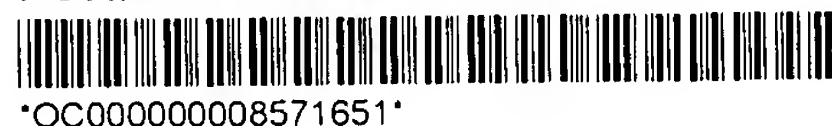
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/001,221	10/30/2001	Thomas J. Schall	10709-014

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610



CONFIRMATION NO. 2004  
FORMALITIES LETTER



Date Mailed: 08/06/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

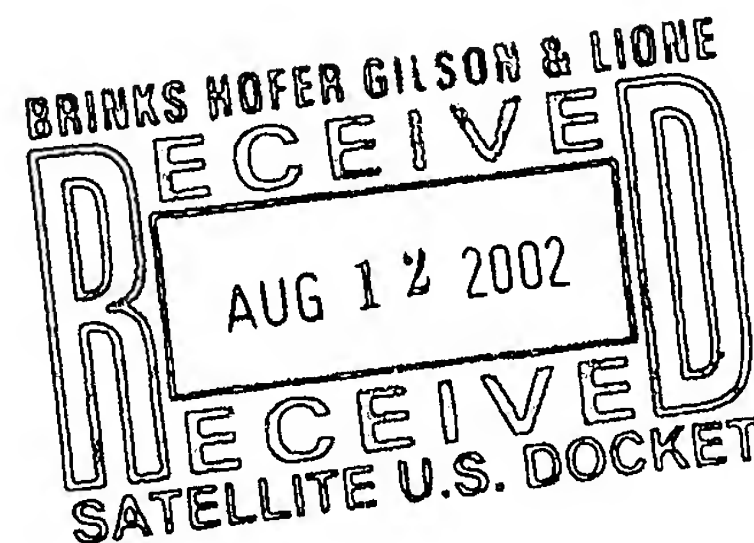
- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Thomas J. Schall, et al. )  
Serial No.: 10/001,221 ) Examiner: Unknown  
Filed: October 30, 2001 ) Group Art Unit: 1614  
For: METHODS AND COMPOSITIONS ) Attorney Docket No. 10709-014  
FOR INDUCING AN IMMUNE )  
RESPONSE )

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage addressed to: United States Patent and Trademark Office, BOX SEQUENCE, P.O. Box 2327, Arlington, Virginia 22202.  
on 7 October 2002 by J. Matthew Buchanan

STATEMENT VERIFYING IDENTICAL PAPER AND COMPUTER READABLE COPY

United States Patent and Trademark Office  
BOX SEQUENCE  
P.O. Box 2327  
Arlington, Virginia 22202

Honorable Sir:

Pursuant to 37 CFR §1.821(f), I hereby state that the sequence listing information recorded on the substitute computer readable form is identical to the written sequence listing.

Respectfully submitted,

Date: 7 October 2002

J. Matthew Buchanan  
J. Matthew Buchanan  
Reg. No. 47,459

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200